



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2161  
PATENT  
#2  
BT  
11-13-01

Application of: McAuliffe, et al.

Application No.: 09/897,226

Group Art Unit: 2161

Filed: July 2, 2001

For: METHOD AND APPARATUS FOR PRIVACY NEGOTIATION

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on 9/4/01.

Kevin T. Cuenot  
Kevin T. Cuenot

Reg. No. 46,283

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SEP 12 2001

Technology Center 2100

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find enclosed the following for filing:

- Information Disclosure Statement, Form PTO-1449
- Please charge any deficiencies or credit any overpayment to Deposit Account No. 50-0951.
- Other: (1) Postcard

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Date: 9/4/01

Kevin T. Cuenot

Gregory A. Nelson, Reg. No. 30,577  
Steven M. Greenberg, Reg. No. 44,725  
Kevin T. Cuenot, Reg. No. 46,283  
AKERMAN SENTERFITT  
222 Lakeview Avenue - Suite 400  
P. O. Box 3188  
West Palm Beach, FL 33402-3188  
(561) 653-5000

Docket No. 6169-206



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: McAuliffe, et al.

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Kevin T. Cuenot \_\_\_\_\_ Reg. No. 46,283  
Kevin T. Cuenot

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SEP 12 2001

Technology Center 2100

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date, or (2) before the mailing date of the first Office Action on the merits and, therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

## INFORMATION DISCLOSURE STATEMENT

The submission of the listed documents are not intended as an admission that such documents constitute prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove the listed documents as competent references against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

Date: 9/4/01

Kevin T. Cuenot  
Gregory A. Nelson  
Registration No. 30,577  
Steven M. Greenberg  
Registration No. 44,725  
Kevin T. Cuenot  
Registration No. 46,283  
AKERMAN SENTERFITT  
222 Lakeview Avenue - 4th Floor  
P. O. Box 3188  
West Palm Beach, FL 33402-3188  
(561) 653-5000

Docket No. 6169-206

Form PTO-1449 (Rev. 2-88)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 6169-206		APPLICATION NO. 09/897,226	
O P E R A T I O N S S E P T E M B E R 2 0 0 1 P A T E N T & T R A D E M A R K O F F I C E		INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT McAuliffe, et al.			
		(Use several sheets if necessary)		FILING DATE July 2, 2001		GROUP 2161	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	6,035,288	3/7/00	Solomon				
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Cranor, et al., <i>The Platform for Privacy Preferences 1.0 (P3P1.0) Specification</i> , pp. 1-146 (last visited Sept. 4, 2001), < <a href="http://www.w3.org/TR/P3P/">http://www.w3.org/TR/P3P/</a> >.					
EXAMINER				DATE CONSIDERED			